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## SHORT COMMUNICATION

# Unitarily invariant norm inequalities for operators

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#### KEYWORDS

Bounded linear operator; Hilbert space; Norm inequality; Operator norm; Schatten *p*-norm; Unitarily invariant norm **Abstract** We present several norm inequalities for Hilbert space operators. In particular, we prove that if  $A_1, A_2, \ldots, A_n \in \mathbb{B}(\mathcal{H})$ , then

$$|||A_1A_2^* + A_2A_3^* + \dots + A_nA_1^*||| \le |||\sum_{i=1}^n A_iA_i^*|||$$

for all unitarily invariant norms.

We also show that if  $A_1, A_2, A_3, A_4$  are projections in  $\mathbb{B}(\mathcal{H})$ , then

$$\left| \left| \left| \left( \sum_{i=1}^{4} (-1)^{i+1} A_i \right) \oplus 0 \oplus 0 \oplus 0 \right| \right| \right| \leq \left| \left| \left| \left| (A_1 + |A_3 A_1|) \oplus (A_2 + |A_4 A_2|) \oplus (A_3 + |A_1 A_3|) \right| \right| \\ \oplus \left| (A_4 + |A_2 A_4|) \right| \right| \right|$$

for any unitarily invariant norm.

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### 1. Introduction and preliminaries

Let  $\mathbb{B}(\mathscr{H})$  stand for the  $C^*$ -algebra of all bounded linear operators on a complex Hilbert space  $\mathscr{H}$  with inner product  $\langle \cdot, \cdot \rangle$  and let I denote the identity operator. For  $A \in \mathbb{B}(\mathscr{H})$ , let  $\|A\| = \sup\{\|Ax\| : \|x\| = 1\}$  denote the usual operator norm of A and  $|A| = (A^*A)^{1/2}$  be the absolute value of A. For  $1 \leq p < \infty$ , the Schatten p-norm of a compact operator A is defined by  $\|A\|_p = (\operatorname{tr}|A|^p)^{1/p}$ , where tr is the usual trace functional. If A and B are operators in  $\mathbb{B}(\mathscr{H})$  we use  $A \oplus B$  to denote the  $2 \times 2$  operator matrix  $\begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$ , regarded as an operator on  $\mathscr{H} \oplus \mathscr{H}$ . One can show that

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$$||A \oplus B|| = \max(||A||, ||B||) \tag{1.1}$$

$$||A \oplus B||_{p} = (||A||_{p}^{p} + ||B||_{p}^{p})^{1/p}$$
 (1.2)

An operator  $A \in \mathbb{B}(\mathcal{H})$  is positive and write  $A \geqslant 0$  if  $\langle A(x), x \rangle \geqslant 0$  for all  $x \in \mathcal{H}$ . We say  $A \leqslant B$  whenever  $B - A \geqslant 0$ .

We consider the wide class of unitarily invariant norms  $|||\cdot|||$ . Each of these norms is defined on an ideal in  $\mathbb{B}(\mathscr{H})$  and it will be implicitly understood that when we talk about |||T|||, then the operator T belongs to the norm ideal associated with  $|||\cdot|||$ . Each unitarily invariant norm  $|||\cdot|||$  is characterized by the invariance property |||UTV||| = |||T||| for all operators T in the norm ideal associated with  $|||\cdot|||$  and for all unitary operators U and V in  $\mathbb{B}(\mathscr{H})$ . The following are easily deduced by utilizing the basic properties of unitarily invariant norms

$$|||A \oplus A^*||| = |||A \oplus A|||, \tag{1.3}$$

$$|||A \oplus B||| = \left| \left| \left| \left[ \begin{array}{cc} 0 & A \\ B & 0 \end{array} \right] \right| \right|$$
 (1.4)

$$|||AA^*||| = |||A^*A||| \tag{1.5}$$

for all operators  $A, B \in \mathbb{B}(\mathcal{H})$ . For the general theory of unitarily invariant norms, we refer the reader to Bhatia and Simon [1,12].

It follows from the Fan dominance principle (see [7] and [11]) that the following three inequalities for all unitarily invariant norms are equivalence:

$$|||A||| \leqslant |||B|||, \tag{1.6}$$

$$|||A \oplus 0||| \le |||B \oplus 0|||,$$
 (1.7)

$$|||A \oplus A||| \leqslant |||B \oplus B|||. \tag{1.8}$$

It has been shown by Kittaneh [9] that if  $A_1, A_2, B_1, B_2, X$ , and Y are operators in  $\mathbb{B}(\mathcal{H})$ , then

$$2|||(A_{1}XA_{2}^{*} + B_{1}YB_{2}^{*}) \oplus 0|||$$

$$\leq ||||\begin{bmatrix} A_{1}^{*}A_{1}X + XA_{2}^{*}A_{2} & A_{1}^{*}B_{1}Y + XA_{2}^{*}B_{2} \\ B_{1}^{*}A_{1}X + YB_{2}^{*}A_{2} & B_{1}^{*}B_{1}Y + YB_{2}^{*}B_{2} \end{bmatrix}|||$$

$$(1.9)$$

for all unitarily invariant norms.

It has been shown by Bhatia and Kittaneh [2] that if A and B are operators in  $\mathbb{B}(\mathcal{H})$ , then

$$|||A^*B + B^*A||| \le |||A^*A + B^*B|||, \tag{1.10}$$

for all unitarily invariant norms.

Kittaneh [10] proved that if A and B are positive operators in  $\mathbb{B}(\mathcal{H})$ , then

$$|||(A+B) \oplus 0||| \le |||(A+|B^{1/2}A^{1/2}|) \oplus (B+|A^{1/2}B^{1/2}|)|||$$
(1.11)

for any unitarily invariant norm.

It was shown by Fong [4] that if  $A \in M_n(C)$ , then

$$||AA^* - A^*A|| \le ||A||^2, \tag{1.12}$$

and it was shown by Kittaneh [8] that

$$||AA^* + A^*A|| \le ||A^2|| + ||A||^2. \tag{1.13}$$

In this paper we establish some norm and norm inequalities. We generalize inequalities (1.9) and (1.10) and present a norm inequality analogue to (1.11). Based on our main result, we provide new proofs of inequalities (1.12) and (1.13).

#### 2. Main results

To achieve our main result we need the following lemma.

**Lemma 2.1** (3, Theorem 1). If A, B and X are operators in  $\mathbb{B}(\mathcal{H})$ , then

$$2|||AXB^*||| \le |||A^*AX + XB^*B||| \tag{2.1}$$

for any unitarily invariant norm.

The main result below is an extension of [9, Theorem 2.2]. We will prove it by an approach different from [9, Theorem 2.2].

**Theorem 2.2.** Let  $A_i, B_i, X_i \in \mathbb{B}(\mathcal{H})$  for i, j = 1, 2, ..., n. Then

$$2|||\sum_{i=1}^{n}(A_{i}X_{i}B_{i}^{*})\oplus 0\oplus \cdots \oplus 0|||$$

for all unitarily invariant norms.

**Proof.** Consider the following operators on  $\bigoplus_{i=1}^{n} \mathcal{H}$ 

$$A = \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix},$$

$$B = \begin{bmatrix} B_1 & B_2 & \cdots & B_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$$

and

$$X = \begin{bmatrix} X_1 & 0 & \cdots & 0 \\ 0 & X_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & X_n \end{bmatrix}.$$

Then

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$$2|||\sum_{i=1}^{n}(A_{i}X_{i}B_{i}^{*})\oplus 0\oplus \cdots \oplus 0|||$$

$$= 2|||\begin{bmatrix} A_{1} & A_{2} & \cdots & A_{n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}\begin{bmatrix} X_{1} & 0 & \cdots & 0 \\ 0 & X_{2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & X_{n} \end{bmatrix}\begin{bmatrix} B_{1}^{*} & 0 & \cdots & 0 \\ B_{2}^{*} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ B_{n}^{*} & 0 & \cdots & 0 \end{bmatrix}|$$

$$= 2|||AXB^{*}|||$$

$$\leq |||A^{*}AX + XB^{*}B|||$$

$$\leq |||A^{*}AX + XB^{*}B|||$$

$$\leq |||A^{*}AX + XB^{*}B|||$$

$$\leq |||A^{*}AX + XB^{*}B|||$$

$$= |||\begin{bmatrix} A_{1}^{*} & 0 & \cdots & 0 \\ A_{2}^{*} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_{n}^{*} & 0 & \cdots & 0 \end{bmatrix}\begin{bmatrix} A_{1} & A_{2} & \cdots & A_{n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}\begin{bmatrix} X_{1} & 0 & \cdots & 0 \\ 0 & X_{2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & X_{n} \end{bmatrix}$$

$$+ \begin{vmatrix} X_{1} & 0 & \cdots & 0 \\ 0 & X_{2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & X_{n} \end{bmatrix}\begin{bmatrix} B_{1}^{*} & 0 & \cdots & 0 \\ B_{2}^{*} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ B_{n}^{*} & 0 & \cdots & 0 \end{bmatrix}\begin{bmatrix} B_{1} & B_{2} & \cdots & B_{n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$$

$$= |||\begin{bmatrix} A_{1}^{*}A_{1}X_{1} + X_{1}B_{1}^{*}B_{1} & A_{1}^{*}A_{2}X_{2} + X_{1}B_{1}^{*}B_{2} & \cdots & A_{1}^{*}A_{n}X_{n} + X_{1}B_{n}^{*}B_{n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n}^{*}A_{1}X_{1} + X_{2}B_{2}^{*}B_{1} & A_{n}^{*}A_{2}X_{2} + X_{2}B_{n}^{*}B_{2} & \cdots & A_{n}^{*}A_{n}X_{n} + X_{n}B_{n}^{*}B_{n} \end{bmatrix}|||$$

$$= |||| \begin{bmatrix} A_{1}^{*}A_{1}X_{1} + X_{1}B_{1}^{*}B_{1} & A_{1}^{*}A_{2}X_{2} + X_{2}B_{2}^{*}B_{2} & \cdots & A_{n}^{*}A_{n}X_{n} + X_{1}B_{n}^{*}B_{n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n}^{*}A_{1}X_{1} + X_{n}B_{n}^{*}B_{1} & A_{n}^{*}A_{2}X_{2} + X_{n}B_{n}^{*}B_{2} & \cdots & A_{n}^{*}A_{n}X_{n} + X_{n}B_{n}^{*}B_{n} \end{bmatrix}||||$$

Corollary 2.3. Let  $A_1, A_2, \ldots, A_n \in \mathbb{B}(\mathcal{H})$ . Then

$$\left|\left|\left|A_{1}A_{2}^{*}+A_{2}A_{3}^{*}+\cdots+A_{n}A_{1}^{*}\right|\right|\right| \leqslant \left|\left|\left|\sum_{i=1}^{n}A_{i}A_{i}^{*}\right|\right|\right|,$$

for all unitarily invariant norms. In particular,

$$\|A_1A_2^* + A_2A_3^* + \dots + A_nA_1^*\|_p \le \left\|\sum_{i=1}^n A_iA_i^*\right\|_p \text{ for } 1 \le p \le \infty.$$

**Proof.** Letting  $B_i = A_{i+1}$  for i = 1, 2..., n-1 and  $B_n = A_1$  and  $A_i = I$  in Theorem 2.2, we get

$$+ \left\| \begin{bmatrix} A_{2} & A_{3} & \cdots & A_{1} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} A_{2}^{*} & 0 & \cdots & 0 \\ A_{3}^{*} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_{1}^{*} & 0 & \cdots & 0 \end{bmatrix} \right\| (by (1.5))$$

$$= 2 \left\| \left( \sum_{i=1}^{n} A_{i} A_{i}^{*} \right) \oplus 0 \cdots \oplus 0 \right\|$$

By the equivalence of inequalities (1.6) and (1.7) we have

$$\left|\left|\left|A_{1}A_{2}^{*}+A_{2}A_{3}^{*}+\cdots+A_{n}A_{1}^{*}\right|\right|\right| \leqslant \left|\left|\left|\sum_{i=1}^{n}A_{i}A_{i}^{*}\right|\right|\right|$$

for all unitarily invariant norms.  $\Box$ 

To establish the next result we need the following Lemma. The lemma is a basic triangle inequality comparing, in unitarily invariant norms, the sum of two normal operators to the sum of their absolute values.

**Lemma 2.4.** [5] If A and B are normal operators in  $\mathbb{B}(\mathcal{H})$ , then

$$|||A + B||| \le ||| |A| + |B| |||. \tag{2.2}$$

for all unitarily invariant norms.

Corollary 2.5. Let  $A_1, A_2, A_3, A_4$  be projections in  $\mathbb{B}(\mathcal{H})$ . Then

$$\left\| \left( \sum_{i=1}^{4} (-1)^{i+1} A_i \right) \oplus 0 \oplus 0 \oplus 0 \right\|$$

$$\leq \left\| \left\| (A_1 + |A_3 A_1|) \oplus (A_2 + |A_4 A_2|) \oplus (A_3 + |A_1 A_3|) \oplus (A_4 + |A_2 A_4|) \right\| \right\|$$
(2.3)

for all unitarily invariant norms. In particular,

$$\left\| \sum_{i=1}^{4} (-1)^{i+1} A_i \right\|$$

$$\leq \max\{ \|A_1 + |A_3 A_1| \|, \|A_2 + |A_4 A_2| \|, \|A_3 + |A_1 A_3| \|, \|A_4 + |A_2 A_4| \| \}$$

and

$$\begin{split} \left\| \sum_{i=1}^{4} (-1)^{i+1} A_i \right\|_p & \leq \left( \|A_1 + |A_3 A_1|\|_p^p + \|A_2 + |A_4 A_2|\|_p^p + \|A_3 + |A_1 A_3|\|_p^p \right. \\ & + \|A_4 + |A_2 A_4|\|_p^p \right)^{1/p} (1 \leq p < \infty). \end{split}$$

**Proof.** Letting n = 4, and replacing both  $A_i$  and  $B_i$  by  $A_i$ , and putting  $X_i = (-1)^{i+1}I$  for i = 1, 2, 3, 4 in Theorem 2.2, we get

$$\leq \left\| \left\| \left| \begin{bmatrix} A_1 & 0 & 0 & 0 \\ 0 & A_2 & 0 & 0 \\ 0 & 0 & A_3 & 0 \\ 0 & 0 & 0 & A_4 \end{bmatrix} \right| + \left| \begin{bmatrix} 0 & 0 & A_1A_3 & 0 \\ 0 & 0 & 0 & A_2A_4 \\ A_3A_1 & 0 & 0 & 0 \\ 0 & A_4A_2 & 0 & 0 \end{bmatrix} \right| \right\|$$
 (by (2.2)) 
$$= \left\| \left[ \begin{bmatrix} A_1 & 0 & 0 & 0 \\ 0 & A_2 & 0 & 0 \\ 0 & 0 & A_3 & 0 \\ 0 & 0 & 0 & A_4 \end{bmatrix} + \begin{bmatrix} |A_3A_1| & 0 & 0 & 0 \\ 0 & |A_4A_2| & 0 & 0 \\ 0 & 0 & |A_1A_3| & 0 \\ 0 & 0 & 0 & |A_2A_4| \end{bmatrix} \right| \right\|$$
 
$$= \left\| \begin{bmatrix} A_1 + |A_3A_1| & 0 & 0 & 0 \\ 0 & A_2 + |A_4A_2| & 0 & 0 \\ 0 & 0 & A_3 + |A_1A_3| & 0 \\ 0 & 0 & 0 & A_4 + |A_2A_4| \end{bmatrix} \right\| .$$

This proves inequality (2.3).

The rest inequalities follow from (2.3), (1.1) and (1.2).  $\square$ 

**Corollary 2.6.** Let  $A_1, A_2, \ldots, A_n$  be positive operators in  $\mathbb{B}(\mathcal{H})$ . Then

$$||A_1 + A_2 + \dots + A_n|| \le \max\{||A_i + (n-1)||A_i|||| : i = 1, 2, \dots, n\}.$$
(2.4)

#### **Proof.** First we show that

$$\begin{bmatrix} A_{1} & A_{1}^{1/2}A_{2}^{1/2} & \cdots & A_{1}^{1/2}A_{n}^{1/2} \\ A_{2}^{1/2}A_{1}^{1/2} & A_{2} & \cdots & A_{2}^{1/2}A_{n}^{1/2} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n}^{1/2}A_{1}^{1/2} & A_{n}^{1/2}A_{2}^{1/2} & \cdots & A_{n} \end{bmatrix}$$

$$\leqslant \begin{bmatrix} A_{1} + (n-1)\|A_{1}\| & 0 & \cdots & 0 \\ 0 & A_{2} + (n-1)\|A_{2}\| & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_{n} + (n-1)\|A_{n}\| \end{bmatrix}.$$

$$(2.5)$$

It is enough to show that

$$C = \begin{bmatrix} (n-1)A_1 & -A_1^{1/2}A_2^{1/2} & \cdots & -A_1^{1/2}A_n^{1/2} \\ -A_2^{1/2}A_1^{1/2} & (n-1)A_2 & \cdots & -A_2^{1/2}A_n^{1/2} \\ \vdots & \vdots & \ddots & \vdots \\ -A_n^{1/2}A_1^{1/2} & -A_n^{1/2}A_2^{1/2} & \cdots & (n-1)A_n \end{bmatrix} \geqslant 0.$$

To see this, we note that

$$nC = \begin{bmatrix} (n-1)A_1^{1/2} & -A_1^{1/2} & \cdots & -A_1^{1/2} \\ -A_2^{1/2} & (n-1)A_2^{1/2} & \cdots & -A_2^{1/2} \\ \vdots & \vdots & \ddots & \vdots \\ -A_n^{1/2} & -A_n^{1/2} & \cdots & (n-1)A_n^{1/2} \end{bmatrix} \begin{bmatrix} (n-1)A_1^{1/2} & -A_2^{1/2} & \cdots & -A_n^{1/2} \\ -A_1^{1/2} & (n-1)A_2^{1/2} & \cdots & -A_n^{1/2} \\ \vdots & \vdots & \ddots & \vdots \\ -A_1^{1/2} & -A_2^{1/2} & \cdots & (n-1)A_n^{1/2} \end{bmatrix} \geqslant 0.$$

Next, by letting  $X_i = I$  and replacing both  $A_i$  and  $B_i$  by  $A_i^{1/2}$  in Theorem 2.2, we obtain

in Theorem 2.2, we obtain
$$\|A_1 + A_2 + \dots + A_n\| \le \left\| \begin{bmatrix} A_1 & A_1^{1/2} A_2^{1/2} & \cdots & A_1^{1/2} A_1^{1/2} \\ A_2^{1/2} A_1^{1/2} & A_2 & \cdots & A_2^{1/2} A_n^{1/2} \\ \vdots & \vdots & \ddots & \vdots \\ A_n^{1/2} A_1^{1/2} & A_n^{1/2} A_2^{1/2} & \cdots & A_n \end{bmatrix} \right\|$$

$$\le \left\| \begin{bmatrix} A_1 + (n-1)\|A_1\| & 0 & \cdots & 0 \\ 0 & A_2 + (n-1)\|A_2\| & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_n + (n-1)\|A_n\| \end{bmatrix} \right\|$$
(by(2.5)

Hence

$$||A_1 + A_2 + \dots + A_n|| \le \max\{||A_i + (n-1)||A_i||| : i = 1, 2, \dots, n\}.$$

**Corollary 2.7.** Let A and B be normal operators in  $\mathbb{B}(\mathcal{H})$ . Then

 $||A + B|| \le \max\{||A| + ||A|||, ||B| + ||B|||\}.$ 

**Proof.** Letting  $n = 2, A_1 = |A|, A_2 = |B|$  in (2.4), we obtain

$$||A + B|| \le |||A| + |B||$$
 (by(2.2))  
  $\le \max \{||A| + ||A|||, ||B| + ||B|||\}.$ 

To establish the next result we need the following lemma.

**Lemma 2.8.** [6, Theorem 1.1] If A, B, C and D are operators in  $\mathbb{B}(\mathcal{H})$ , then

$$\left\| \begin{bmatrix} A & B \\ C & D \end{bmatrix} \right\| \le \left\| \begin{bmatrix} \|A\| & \|B\| \\ \|C\| & \|D\| \end{bmatrix} \right\|. \tag{2.6}$$

Corollary 2.9. Let  $A \in \mathbb{B}(\mathcal{H})$ . Then

$$||AA^* + A^*A|| \le ||A^2|| + ||A||^2 \tag{2.7}$$

and

$$||AA^* - A^*A|| \le ||A||^2. \tag{2.8}$$

**Proof.** Letting  $n=2, A_1=B_1=A, A_2=B_2=A^*$  and  $X_1=X_2=I$  in Theorem 2.2 we get

$$||AA^* + A^*A|| \le \left\| \begin{bmatrix} A^*A & A^{*2} \\ A^2 & AA^* \end{bmatrix} \right\|$$

$$\le \left\| \begin{bmatrix} ||A^*A|| & ||A^{*2}|| \\ ||A^2|| & ||AA^*|| \end{bmatrix} \right\| (by(2.6))$$

Since

$$\begin{bmatrix} \|A^*A\| & \|A^{*2}\| \\ \|A^2\| & \|AA^*\| \end{bmatrix} = \begin{bmatrix} \|A\|^2 & \|A^2\| \\ \|A^2\| & \|A\|^2 \end{bmatrix}$$

is self-adjoint, the usual operator norm of this matrix is equal to its spectral radius, so

$$\left\| \begin{bmatrix} \|A\|^2 & \|A^2\| \\ \|A^2\| & \|A\|^2 \end{bmatrix} \right\| = \|A^2\| + \|A\|^2.$$

This proves inequality (2.7). To prove inequality (2.8), putting  $n=2, A_1=B_1=A, A_2=B_2=A^*$  and  $X_1=I=-X_2$  in Theorem 2.2, to get

$$||AA^* - A^*A|| \le \left\| \begin{bmatrix} A^*A & 0 \\ 0 & AA^* \end{bmatrix} \right\|$$

$$\le \left\| \begin{bmatrix} ||A^*A|| & 0 \\ 0 & ||AA^*|| \end{bmatrix} \right\| \text{(by (2.6))}$$

$$= ||A||^2. \quad \Box$$

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